

# Proof Theoretical Reasoning – Lecture 3

## Modal Logic S5 and Hypersequents

Revantha Ramanayake and Björn Lellmann

TU Wien

TRS Reasoning School 2015  
Natal, Brasil

# Outline

Modal Logic S5

Sequents for S5

Hypersequents for S5

Cut Elimination

Applications and Other Logics

## Reminder: Modal Logics

The **formulae** of modal logic are given by ( $\mathcal{V}$  is a set of variables):

$$\mathcal{F} ::= \mathcal{V} \mid \mathcal{F} \wedge \mathcal{F} \mid \mathcal{F} \vee \mathcal{F} \mid \mathcal{F} \rightarrow \mathcal{F} \mid \neg \mathcal{F} \mid \Box \mathcal{F}$$

with  $\Diamond A$  abbreviating the formula  $\neg \Box \neg A$ .

A **Kripke frame** consists of a set  $W$  of **worlds** and an **accessibility relation**  $R \subseteq W \times W$ .

A **Kripke model** is a Kripke frame with a **valuation**  $V : \mathcal{V} \rightarrow \mathcal{P}(W)$ .

**Truth** at a world  $w$  in a model  $\mathfrak{M}$  is defined via:

$$\begin{aligned} \mathfrak{M}, w \Vdash p & \text{ iff } w \in V(p) \\ \mathfrak{M}, w \Vdash \Box A & \text{ iff } \forall v \in W : wRv \Rightarrow \mathfrak{M}, v \Vdash A \\ \mathfrak{M}, w \Vdash \Diamond A & \text{ iff } \exists v \in W : wRv \ \& \ \mathfrak{M}, v \Vdash A \end{aligned}$$

# Modal Logic S5

## Definition

Modal logic **S5** is the logic given by the class of Kripke frames with **universal** accessibility relation, i.e., frames  $(W, R)$  with:

$$\forall x, y \in W : xRy .$$

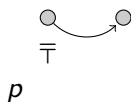
Thus **S5-theorems** are those modal formulae which are true in every world of every Kripke model with universal accessibility relation.

# Modal Logic S5

## Example

The formulae  $p \rightarrow \Box\Diamond p$

are theorems of S5:

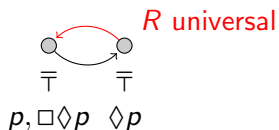


# Modal Logic S5

## Example

The formulae  $p \rightarrow \Box \Diamond p$

are theorems of S5:

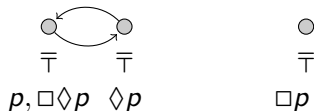


# Modal Logic S5

## Example

The formulae  $p \rightarrow \Box\Diamond p$ ,  $\Box p \rightarrow p$

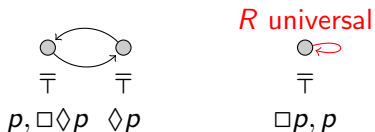
are theorems of S5:



# Modal Logic S5

## Example

The formulae  $p \rightarrow \Box\Diamond p$ ,  $\Box p \rightarrow p$  are theorems of S5:

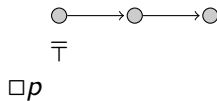
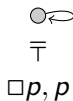
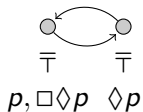




# Modal Logic S5

## Example

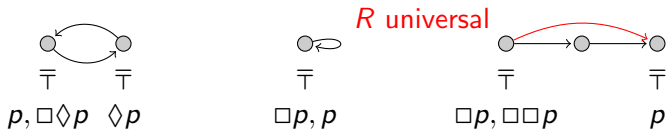
The formulae  $p \rightarrow \Box\Diamond p$ ,  $\Box p \rightarrow p$ ,  $\Box p \rightarrow \Box\Box p$  are theorems of S5:



# Modal Logic S5

## Example

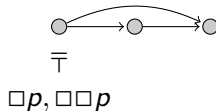
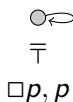
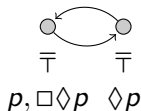
The formulae  $p \rightarrow \Box\Diamond p$ ,  $\Box p \rightarrow p$ ,  $\Box p \rightarrow \Box\Box p$  are theorems of S5:



# Modal Logic S5

## Example

The formulae  $p \rightarrow \Box\Diamond p$ ,  $\Box p \rightarrow p$ ,  $\Box p \rightarrow \Box\Box p$  are theorems of S5:



**Hilbert-style Definition:** S5 is given by closing the axioms

$$\Box(p \rightarrow q) \rightarrow (\Box p \rightarrow \Box q) \quad p \rightarrow \Box\Diamond p \quad \Box p \rightarrow p \quad \Box p \rightarrow \Box\Box p$$

and propositional axioms under uniform substitution and the rules

$$\frac{A \quad A \rightarrow B}{B} \text{ modus ponens, MP} \quad \frac{A}{\Box A} \text{ necessitation, nec}$$

# A Sequent Calculus for S5

## Definition (Takano 1992)

The sequent calculus **LS5\*** contains the standard propositional rules and

$$\frac{\Gamma, A \Rightarrow \Delta}{\Gamma, \Box A \Rightarrow \Delta} \top \quad \frac{\Box \Gamma \Rightarrow A, \Box \Delta}{\Box \Gamma \Rightarrow \Box A, \Box \Delta} 45$$

## Theorem

LS5\* is sound and complete (with cut) for S5.

## Proof.

Derive axioms and rules of the Hilbert-system. E.g., for  $p \rightarrow \Box \Diamond p$ :

$$\frac{\frac{\frac{\overline{\Box \neg p \Rightarrow \Box \neg p}}{\Rightarrow \neg \Box \neg p, \Box \neg p} \text{init}}{\Rightarrow \Box \neg \Box \neg p, \Box \neg p} \neg^L}{\Rightarrow \Box \neg \Box \neg p, \Box \neg p} 45 \quad \frac{\frac{\frac{\overline{p \Rightarrow p}}{\neg p, p \Rightarrow} \text{init}}{\Box \neg p, p \Rightarrow} \neg^L}{\Box \neg p, p \Rightarrow} \top}{\frac{p \Rightarrow \Box \neg \Box \neg p}{\Rightarrow p \rightarrow \Box \Diamond p} \rightarrow_R} \text{cut}$$

# A Sequent Calculus for S5

## Definition (Takano 1992)

The sequent calculus **LS5\*** contains the standard propositional rules and

$$\frac{\Gamma, A \Rightarrow \Delta}{\Gamma, \Box A \Rightarrow \Delta} \top \quad \frac{\Box \Gamma \Rightarrow A, \Box \Delta}{\Box \Gamma \Rightarrow \Box A, \Box \Delta} 45$$

## Theorem

LS5\* is sound and complete (with cut) for S5.

## Proof.

E.g. the modus ponens rule  $\frac{A \quad A \rightarrow B}{B}$  is simulated by:

$$\frac{\Rightarrow A \quad \frac{\Rightarrow A \rightarrow B \quad \frac{A, B \Rightarrow B \quad A \Rightarrow A, B}{A, A \rightarrow B \Rightarrow B} \rightarrow_L}{A \Rightarrow B} \text{cut}}{\Rightarrow B} \text{cut}$$



## What about cut-free completeness?

Our standard proof of cut elimination fails:

$$\frac{\frac{\begin{array}{c} \vdots \\ \Rightarrow \neg \Box \neg A, \Box \neg A \end{array}}{\Rightarrow \Box \neg \Box \neg A, \Box \neg A} \text{ 45} \quad \frac{\begin{array}{c} \vdots \\ \neg A, A \Rightarrow \\ \Box \neg A, A \Rightarrow \end{array}}{\Box \neg A, A \Rightarrow} \text{ T cut}}{A \Rightarrow \Box \neg \Box \neg A}$$

would need to reduce to:

$$\frac{\frac{\begin{array}{c} \vdots \\ \Rightarrow \neg \Box \neg A, \Box \neg A \end{array}}{A \Rightarrow \neg \Box \neg A} \quad \frac{\begin{array}{c} \vdots \\ \neg A, A \Rightarrow \\ \Box \neg A, A \Rightarrow \end{array}}{\Box \neg A, A \Rightarrow} \text{ T cut}}{A \Rightarrow \neg \Box \neg A} \text{ ??}$$

But we can't apply rule 45 anymore since  $A$  is not boxed!

## What about cut-free completeness?

But could there be a different derivation?

No! In fact we have:

### Theorem

*The sequent  $p \Rightarrow \Box \Diamond p$  is not cut-free derivable in LS5\*.*

### Proof.

The only rules that can be applied in a cut-free derivation ending in  $p \Rightarrow \Box \Diamond p$  are weakening and contraction. Hence, such a derivation can only contain sequents of the form

$$\overbrace{p, \dots, p}^{m\text{-times}} \Rightarrow \overbrace{\Box \neg \Box \neg p, \dots, \Box \neg \Box \neg p}^{n\text{-times}}$$

with  $m, n \geq 0$ . Thus it cannot contain an initial sequent. □

## Is there a cut-free sequent calculus for S5?

Trivial answer: Of course!

Take the rules  $\{ \overline{\Rightarrow A} \mid A \text{ valid in S5} \}$ .

Non-trivial answer: That depends on the shape of the rules!

**Strategy** for showing certain rule shapes cannot capture S5 even **with cut**:

- ▶ translate the rules into **Hilbert-axioms** of specific form
- ▶ connect Hilbert-style axiomatisability with **frame definability**
- ▶ show that the translations of the rules cannot define S5-frames.

(The translation involves cut, so this shows a stronger statement.)



## What Is a Rule?

Let us call a sequent rule **modal** if it has the shape:

$$\frac{\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_1 \quad \dots \quad \Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n}{\Gamma, \Box \Sigma \Rightarrow \Box \Pi, \Delta}$$

where (writing  $\Gamma^\Box$  for the restriction of  $\Gamma$  to modal formulae)

- ▶  $\Sigma_j \subseteq \Sigma$ ,  $\Pi_j \subseteq \Pi$
- ▶  $\Gamma_j$  is one of  $\emptyset, \Gamma, \Gamma^\Box$
- ▶  $\Delta_j$  is one of  $\emptyset, \Delta, \Delta^\Box$

### Example

$$\frac{\Sigma \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta} \text{K} \quad \frac{\Gamma, A \Rightarrow \Delta}{\Gamma, \Box A \Rightarrow \Delta} \text{T} \quad \frac{\Gamma^\Box, \Sigma \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta} \text{4} \quad \frac{\Gamma^\Box \Rightarrow A, \Delta^\Box}{\Gamma \Rightarrow \Box A, \Delta} \text{45}$$

are all modal rules (and equivalent to the rules considered earlier).

## What Is a Rule?

Let us call a sequent rule **modal** if it has the shape:

$$\frac{\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_1 \quad \dots \quad \Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n}{\Gamma, \Box \Sigma \Rightarrow \Box \Pi, \Delta}$$

where (writing  $\Gamma^\Box$  for the restriction of  $\Gamma$  to modal formulae)

- ▶  $\Sigma_j \subseteq \Sigma$ ,  $\Pi_j \subseteq \Pi$
- ▶  $\Gamma_j$  is one of  $\emptyset, \Gamma, \Gamma^\Box$
- ▶  $\Delta_j$  is one of  $\emptyset, \Delta, \Delta^\Box$

### Example

$$\frac{\Gamma^\Box, \Sigma, \Box A \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta} \text{ GLR}$$

is not a modal rule (because the  $\Box A$  changes sides).

## Mixed-cut-closed Rule Sets

LS5\* has modal rules in this sense, so we need something more.

### Definition

A set of modal rules is **mixed-cut-closed** if principal-context cuts can be permuted in the context.

### Example

The set with modal rule  $\frac{\Gamma^\square, \Sigma \Rightarrow A}{\Gamma \square \Sigma \Rightarrow \square A, \Delta} 4$  is mixed-cut-closed:

E.g.:

$$\frac{\frac{\Gamma^\square, \Sigma \Rightarrow A}{\Gamma, \square \Sigma \Rightarrow \square A, \Delta} 4 \quad \frac{\square A, \Omega^\square, \Theta \Rightarrow B}{\square A, \Omega, \square \Theta \Rightarrow \square B, \Xi} 4}{\Gamma, \square \Sigma, \Omega, \square \Theta \Rightarrow \Delta, \square B, \Xi} \text{cut}$$

$$\sim \frac{\frac{\Gamma^\square, \Sigma \Rightarrow A}{\Gamma^\square, \square \Sigma \Rightarrow \square A, \Delta} 4 \quad \square A, \Omega^\square, \Theta \Rightarrow B}{\Gamma^\square, \Sigma, \Omega^\square, \Theta \Rightarrow B} \text{cut}}{\Gamma, \square \Sigma, \Omega, \square \Theta \Rightarrow \Delta, \square B, \Xi} 4$$

## Mixed-cut-closed Rule Sets

LS5\* has modal rules in this sense, so we need something more.

### Definition

A set of modal rules is **mixed-cut-closed** if principal-context cuts can be permuted up in the context.

### Example

The set LS5\* is not mixed-cut-closed: the principal-context cut

$$\frac{\frac{\Gamma^{\Box} \Rightarrow B, \Delta^{\Box}, \Box A}{\Gamma \Rightarrow \Box B, \Delta, \Box A} \text{ 45} \quad \frac{\Sigma, A \Rightarrow \Pi}{\Sigma, \Box A \Rightarrow \Pi} \text{ T}}{\Gamma, \Sigma \Rightarrow \Box B, \Delta, \Pi} \text{ cut}$$

cannot be permuted up in the context since  $\Sigma, \Pi$  are not boxed (see above).

## Mixed-cut-closed Rule Sets Are Nice.

### Lemma

If  $\mathcal{R}$  is a mixed-cut-closed rule set for S5, then the contexts in all the premisses of the modal rules have one of the forms

$$\Rightarrow \quad \text{or} \quad \Gamma \Rightarrow \Delta \quad \text{or} \quad \Gamma^{\Box} \Rightarrow .$$

### Idea of proof.

Show that every such rule set for S5 must include a rule similar to

$$\frac{\Gamma, A \Rightarrow \Delta}{\Gamma, \Box A \Rightarrow \Delta} \text{ T}$$

Use this rule and mixed-cut-closure to replace contexts  $\Gamma^{\Box} \Rightarrow \Delta^{\Box}$  with  $\Gamma \Rightarrow \Delta$ . □

## Strategy for Translating Rules to Axioms

- ▶ We consider all the **representative instances** of a modal rule

$$\frac{\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_1 \quad \dots \quad \Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n}{\Gamma, \Box\Sigma \Rightarrow \Box\Pi, \Delta}$$

i.e., instances of the modal rule where

- ▶  $\Sigma, \Pi$  consists of variables only
- ▶  $\Gamma, \Delta$  consists of variables and boxed variables only
- ▶ every variable occurs at most once in  $\Gamma, \Delta, \Sigma, \Pi$ .
- ▶ Premises and conclusion of these are turned into the formulae

$$\text{prem} = \bigwedge_{i=1}^n (\bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i)$$

$$\text{conc} = \bigwedge \Gamma \wedge \bigwedge \Box\Sigma \rightarrow \bigvee \Box\Pi \vee \bigvee \Delta$$

- ▶ The information of the premisses is captured in a substitution  $\sigma_{\text{prem}}$  and injected into the conclusion by taking  $\text{conc } \sigma_{\text{prem}}$

## Constructing The Substitution $\sigma_{\text{prem}}$

We assume that our rule set includes the **Monotonicity Rule**

$$\frac{A \Rightarrow B}{\Gamma, \Box A \Rightarrow \Box B, \Delta} \text{ Mon}$$

Definition (Adapted from [Ghilardi:'99])

A formula  $A$  is (S5-)projective via a substitution  $\sigma : \mathcal{V} \rightarrow \mathcal{F}$  of variables by formulae if:

- $\Rightarrow A \sigma$  is derivable in GcutMon
- for every  $B \in \mathcal{F}$  the rule  $\frac{\Rightarrow A}{\Rightarrow B \leftrightarrow B\sigma}$  is derivable in GcutMon.

Remark

For 2 it is enough to show for every  $p \in \mathcal{V}$  derivability of the rule

$$\frac{\Rightarrow A}{\Rightarrow p \leftrightarrow p\sigma} .$$

## Constructing The Substitution $\sigma_{\text{prem}}$

### Lemma

The formula  $\text{prem} = \bigwedge_{i=1}^n (\bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i)$  is projective via

$$\sigma_{\text{prem}}(p) = \begin{cases} \text{prem} \wedge p, & p \in \Sigma \\ \text{prem} \rightarrow p, & p \in \Pi \\ p, & \text{otherwise} \end{cases}$$

### Proof.

► To see that  $\vdash_{\text{GcutMon}} \Rightarrow \text{prem} \sigma_{\text{prem}}$ :

For every clause  $(\bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i)$  of  $\text{prem}$  we have:

$$\begin{aligned} & (\bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i) \sigma_{\text{prem}} \\ & \equiv \bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \sigma_{\text{prem}} \rightarrow \bigvee \Pi_i \sigma_{\text{prem}} \vee \bigvee \Delta_i \\ & \equiv \bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \wedge \text{prem} \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i \end{aligned}$$

Since  $(\bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i)$  is a clause in  $\text{prem}$  this is derivable.



## Constructing The Substitution $\sigma_{\text{prem}}$

### Lemma

The formula  $\text{prem} = \bigwedge_{i=1}^n (\bigwedge \Gamma_i \wedge \bigwedge \Sigma_i \rightarrow \bigvee \Pi_i \vee \bigvee \Delta_i)$  is projective via

$$\sigma_{\text{prem}}(p) = \begin{cases} \text{prem} \wedge p, & p \in \Sigma \\ \text{prem} \rightarrow p, & p \in \Pi \\ p, & \text{otherwise} \end{cases}$$

### Proof.

► To see that  $\frac{\Rightarrow \text{prem}}{\Rightarrow p \leftrightarrow p\sigma_{\text{prem}}}$  is derivable is straightforward:

E.g., for  $p \in \Pi$ :

$$\frac{\frac{\frac{\frac{}{p \Rightarrow \text{prem} \rightarrow p}}{\text{prop}}}{\Rightarrow p\sigma_{\text{prem}} \leftrightarrow p} \quad \frac{\frac{\frac{\frac{}{\Rightarrow \text{prem}}{\Rightarrow \text{prem}}}{}{\text{prem}, \text{prem} \rightarrow p \Rightarrow p}}{\text{cut}}}{\text{prem} \rightarrow p \Rightarrow p} \text{prop}}{\Rightarrow p\sigma_{\text{prem}} \leftrightarrow p} \text{prop}}{\Rightarrow p\sigma_{\text{prem}} \leftrightarrow p} \text{prop}$$

□

## Theorem

A modal rule

$$\frac{\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_1 \quad \dots \quad \Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n}{\Gamma, \Box\Sigma \Rightarrow \Box\Pi, \Delta} R$$

is interderivable over GcutMon with the axioms  $\text{conc } \sigma_{\text{prem}}$  obtained from its representative instances.

## Proof.

Derive the rule from the axiom using:

$$\frac{\frac{\frac{\frac{\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_1 \quad \dots \quad \Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n}{\Rightarrow \text{prem}}}{\Rightarrow \text{conc} \leftrightarrow \text{conc } \sigma_{\text{prem}}} \text{ projectivity}}{\text{conc } \sigma_{\text{prem}} \Rightarrow \text{conc}} \text{ prop}}{\Rightarrow \text{conc } \sigma_{\text{prem}}} \text{ cut}}{\frac{\Rightarrow \text{conc}}{\Gamma, \Box\Sigma \Rightarrow \Box\Pi, \Delta} \text{ prop}}$$

## Theorem

A modal rule

$$\frac{\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_1 \quad \dots \quad \Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n}{\Gamma, \Box\Sigma \Rightarrow \Box\Pi, \Delta} R$$

is interderivable over GcutMon with the axioms  $\text{conc } \sigma_{\text{prem}}$  obtained from its representative instances.

## Proof.

Derive the axiom from the rule by:

$$\frac{\frac{\frac{\text{projectivity}}{\Rightarrow \text{prem } \sigma_{\text{prem}}}}{\text{prop}} \quad \frac{\frac{\text{projectivity}}{\Rightarrow \text{prem } \sigma_{\text{prem}}}}{\text{prop}}}{\frac{(\Gamma_1, \Sigma_1 \Rightarrow \Pi_1, \Delta_i) \sigma_{\text{prem}} \quad \dots \quad (\Gamma_n, \Sigma_n \Rightarrow \Pi_n, \Delta_n) \sigma_{\text{prem}}}{R}}{\frac{(\Gamma, \Box\Sigma \Rightarrow \Box\Pi, \Delta) \sigma_{\text{prem}}}{\text{prop}}} \Rightarrow \text{conc } \sigma_{\text{prem}}$$



## Example

The rule  $\frac{\Gamma^\square \Rightarrow A, \Delta^\square}{\Gamma \Rightarrow \square A, \Delta}$  45 has representative instances

$$\frac{\square p_1, \dots, \square p_n \Rightarrow q, \square r_1, \dots, \square r_k}{\square p_1, \dots, \square p_n \Rightarrow \square q, \square r_1, \dots, \square r_k}$$

The formulae and substitution are

$$\text{prem} = \bigwedge_{i=1}^n \square p_i \rightarrow q \vee \bigvee_{j=1}^k \square r_j \quad \text{conc} = \bigwedge_{i=1}^n \square p_i \rightarrow \square q \vee \bigvee_{j=1}^k \square r_j$$

$$\sigma_{\text{prem}}(q) = \text{prem} \rightarrow q \quad \sigma_{\text{prem}}(s) = s \text{ for } s \neq q$$

E.g., for  $n = 1$  and  $k = 1$  the corresponding axiom is:

$$\text{conc } \sigma_{\text{prem}} = \square p_1 \rightarrow \square((\square p_1 \rightarrow q \vee \square r_1) \rightarrow q) \vee \square r_1$$

Instantiating  $q$  with  $\perp$  we have the instance

$$\square p_1 \rightarrow \square(\square p_1 \wedge \neg \square r_1) \vee \square r_1 \quad \equiv \quad (\square p_1 \rightarrow \square \square p_1) \wedge (\diamond \square r_1 \rightarrow \square r_1)$$

## What Do The Axioms Look Like?

An exemplary representative instance of a modal rule from a mixed-cut-closed rule set has the form

$$\frac{\Sigma_1 \Rightarrow \Pi_1 \quad p, \Box q, \Sigma_2 \Rightarrow \Pi_2, r \quad \Box q, \Sigma_3 \Rightarrow \Pi_3}{p, \Box q, \Box \Sigma \Rightarrow \Box \Pi, r}$$

The formula prem is

$$(\bigwedge \Sigma_1 \rightarrow \bigvee \Pi_1) \wedge (p, \Box q \wedge \bigwedge \Sigma_2 \rightarrow \bigvee \Pi_2 \vee r) \wedge (\Box q \wedge \bigwedge \Sigma_3 \rightarrow \bigwedge \Pi_3)$$

and the axiom is

$$AS5 = p \wedge \Box q \wedge \bigwedge_{s \in \Sigma} \Box(\text{prem} \wedge s) \rightarrow \bigvee_{t \in \Pi} \Box(\text{prem} \rightarrow t) \vee r$$

## Such axioms cannot define S5.

## Lemma

If  $A_{S5}$  is satisfiable in one of the frames  $\mathfrak{F} = (\mathbb{N}, \mathbb{N} \times \mathbb{N})$  and  $\mathfrak{F}' = (\mathbb{N}, \leq)$ , then it is also satisfiable in the other.



## Proof.

$$\neg A_{S5} \equiv p \wedge \Box q \wedge \bigwedge_{s \in \Sigma} \Box(\text{prem} \wedge s) \wedge \bigwedge_{t \in \Pi} \Diamond(\text{prem} \wedge \neg t) \wedge \neg t$$

E.g., if  $\mathfrak{F}', V', 1 \Vdash \neg A$  for a valuation  $V'$ , then  $\mathfrak{F}, V, 0 \Vdash \neg A$  with

$$V(n) := V'(n+1)$$

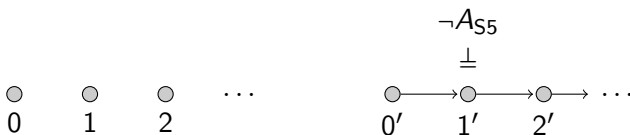
(The only boxed formula in prem is  $\Box q!$ )



## Such axioms cannot define S5.

## Lemma

If  $A_{S5}$  is satisfiable in one of the frames  $\mathfrak{F} = (\mathbb{N}, \mathbb{N} \times \mathbb{N})$  and  $\mathfrak{F}' = (\mathbb{N}, \leq)$ , then it is also satisfiable in the other.



## Proof.

$$\neg A_{S5} \equiv p \wedge \Box q \wedge \bigwedge_{s \in \Sigma} \Box(\text{prem} \wedge s) \wedge \bigwedge_{t \in \Pi} \Diamond(\text{prem} \wedge \neg t) \wedge \neg t$$

E.g., if  $\mathfrak{F}', V', 1 \Vdash \neg A$  for a valuation  $V'$ , then  $\mathfrak{F}, V, 0 \Vdash \neg A$  with

$$V(n) := V'(n+1)$$

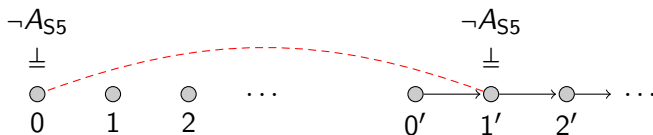
(The only boxed formula in prem is  $\Box q!$ )



## Such axioms cannot define S5.

### Lemma

If  $A_{S5}$  is satisfiable in one of the frames  $\mathfrak{F} = (\mathbb{N}, \mathbb{N} \times \mathbb{N})$  and  $\mathfrak{F}' = (\mathbb{N}, \leq)$ , then it is also satisfiable in the other.



### Proof.

$$\neg A_{S5} \equiv p \wedge \Box q \wedge \bigwedge_{s \in \Sigma} \Box(\text{prem} \wedge s) \wedge \bigwedge_{t \in \Pi} \Diamond(\text{prem} \wedge \neg t) \wedge \neg t$$

E.g., if  $\mathfrak{F}', V', 1 \Vdash \neg A$  for a valuation  $V'$ , then  $\mathfrak{F}, V, 0 \Vdash \neg A$  with

$$V(n) := V'(n+1)$$

(The only boxed formula in prem is  $\Box q!$ )





# No Mixed-cut-closed Rule Sets for S5

## Theorem

*No sequent calculus with mixed-cut-closed propositional and modal rules is sound and complete for S5 (even with cut).*

## Proof.

- ▶ The translations of such rules would have a shape like  $A_{S5}$  above.
- ▶ By the Lemma, such axioms are valid in the S5-frame  $(\mathbb{N}, \mathbb{N} \times \mathbb{N})$  iff they are valid in  $(\mathbb{N}, \leq)$
- ▶ So all axioms (and hence: theorems) of S5 would be valid in  $(\mathbb{N}, \leq)$  – but e.g.  $p \rightarrow \Box \Diamond p$  is not.



Can we **extend** the sequent framework to obtain a cut-free sequent-style calculus for logics like S5?

## Hypersequent Calculi

# Hypersequents

## General idea

Consider several sequents **in parallel**, allowing for **interaction!**

## Definition

A **hypersequent** is a multiset  $\mathcal{G}$  of sequents, written as

$$\Gamma_1 \Rightarrow \Delta_1 \mid \dots \mid \Gamma_n \Rightarrow \Delta_n .$$

The sequents  $\Gamma_i \Rightarrow \Delta_i$  are called the **components** of  $\mathcal{G}$ .

Hypersequent calculi for S5 were independently introduced in

$$[\text{Mints:}'74], [\text{Pottinger:}'83], [\text{Avron:}'96]$$

Hypersequents were also used to provide cut-free calculi for many other logics including modal, substructural and intermediate logics.

# Hypersequents for S5

The (S5-)interpretation of  $\mathcal{G} = \Gamma_1 \Rightarrow \Delta_1 \mid \dots \mid \Gamma_n \Rightarrow \Delta_n$  is

$$\iota(\mathcal{G}) \quad := \quad \Box(\bigwedge \Gamma_1 \rightarrow \bigvee \Delta_1) \vee \dots \vee \Box(\bigwedge \Gamma_n \rightarrow \bigvee \Delta_n)$$

This interpretation suggests the **external structural rules**

$$\frac{\mathcal{G}}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \text{EW}$$

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \text{EC}$$

## Hypersequent Rules for S5

The calculus **HS5** for S5 contains the modal rules

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Rightarrow A}{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box A} \Box_R \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Sigma, A \Rightarrow \Pi}{\mathcal{G} \mid \Gamma, \Box A \Rightarrow \Delta \mid \Sigma \Rightarrow \Pi} \Box_L \quad \frac{\mathcal{G} \mid \Gamma, A \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, \Box A \Rightarrow \Delta} T$$

the standard propositional rules in every component and the external structural rules [Restall:'07].

### Example

The derivations of  $p \Rightarrow \Box \Diamond p$  and  $\Box p \Rightarrow \Box \Box p$  are as follows:

$$\frac{\frac{\frac{\frac{}{p \Rightarrow p \mid \Rightarrow} \text{init}}{\frac{}{p, \neg p \Rightarrow \mid \Rightarrow} \neg L} \Box_L} \neg R} \Box_R} \Box_R$$

$$\frac{\frac{\frac{\frac{}{\Rightarrow \mid \Rightarrow \mid p \Rightarrow p} \text{init}}{\frac{}{\Box p \Rightarrow \mid \Rightarrow \mid \Rightarrow p} \Box_L} \Box_R} \Box_R} \Box_R$$

# Soundness of HS5

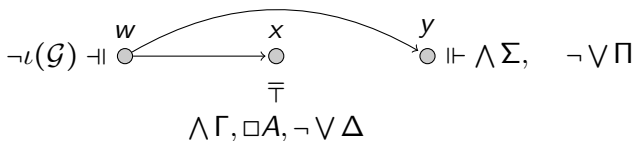
## Theorem

The rules of HS5 preserve validity under the S5-interpretation.

## Proof.

E.g., for  $\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Sigma, A \Rightarrow \Pi}{\mathcal{G} \mid \Gamma, \Box A \Rightarrow \Delta \mid \Sigma \Rightarrow \Pi} \Box_L$ :

If  $\mathfrak{M}, w \Vdash \neg \iota(\mathcal{G}) \wedge \diamond(\wedge \Gamma \wedge \Box A \wedge \neg \vee \Delta) \wedge \diamond(\wedge \Sigma \wedge \neg \vee \Pi)$  we have:







# Soundness of HS5

## Theorem

*The rules of HS5 preserve validity under the S5-interpretation.*

## Corollary

*If  $\Rightarrow A$  is derivable in HS5, then  $A$  is valid in S5.*

## Proof.

By induction on the depth of the derivation, and using that the rule

$$\frac{\Box A}{A}$$

is admissible in S5. □

# Completeness of HS5

We first show completeness with the **hypersequent cut rule**

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, A \quad \mathcal{H} \mid A, \Sigma \Rightarrow \Pi}{\mathcal{G} \mid \mathcal{H} \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{ hcut}$$

## Theorem

*If A is S5-valid, then  $\Rightarrow A$  is derivable in HS5 with hcut.*

## Proof.

Derive the axioms of S5 and simulate the rule of modus ponens by:

$$\frac{\begin{array}{c} \vdots \\ \Rightarrow A \end{array} \quad \frac{\begin{array}{c} \vdots \\ \Rightarrow A \rightarrow B \end{array} \quad \frac{\frac{B, A \Rightarrow B \text{ init} \quad A \Rightarrow A, B \text{ init}}{A \rightarrow B, A \Rightarrow B} \rightarrow_L}{\Rightarrow A \rightarrow B} \text{ hcut}}{\Rightarrow B} \text{ hcut}$$



## Hypersequent Cut Elimination - Complications

Cut elimination for hypersequents is complicated by the external structural rules, in particular by the rule of **external contraction**:

E.g. we might have the situation

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, A \quad \frac{\mathcal{H} \mid A, \Sigma \Rightarrow \Pi \mid A, \Sigma \Rightarrow \Pi}{\mathcal{H} \mid A, \Sigma \Rightarrow \Pi} \text{EC}}{\mathcal{G} \mid \mathcal{H} \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{hcut}$$

Permuting the cut upwards replaces it by **two** cuts of the same complexity:

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, A \quad \frac{\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, A \quad \mathcal{H} \mid A, \Sigma \Rightarrow \Pi \mid A, \Sigma \Rightarrow \Pi}{\mathcal{G} \mid \mathcal{H} \mid A, \Sigma \Rightarrow \Pi \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{hcut}}{\mathcal{G} \mid \mathcal{G} \mid \mathcal{H} \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{hcut}}{\mathcal{G} \mid \mathcal{H} \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{EC}$$

## Cut Elimination for HS5 - Outline

Several methods of cut elimination are possible.  
Here we follow one which generalises rather well  
[Ciabattoni:'10, L.: '14].

### Strategy

- ▶ pick a top-most cut of **maximal complexity**
- ▶ shift up to the left until the cut formula is introduced  
("Shift Left Lemma")
- ▶ shift up to the right until the cut formula is introduced  
("Shift Right Lemma")
- ▶ reduce the complexity of the cut

### Key Ingredient

Absorb contractions by considering a more general induction hypothesis, similar to a one-sided mix rule.

## Cut Elimination for HS5 - Shift Right Lemma

### Definition

The **cut rank** of a derivation in HS5hcut is the maximal complexity  $|A|$  of a cut formula  $A$  in it.

### Lemma (Shift Right Lemma)

*If there are HS5hcut-derivations*

$$\begin{array}{c} \vdots \mathcal{D} \\ \mathcal{G} \mid \Gamma \Rightarrow \Delta, A \end{array} \quad \text{and} \quad \begin{array}{c} \vdots \mathcal{E} \\ \mathcal{H} \mid A^{k_1}, \Sigma_1 \Rightarrow \Pi_1 \mid \dots \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n \end{array}$$

*of cut rank  $< |A|$  with  $A$  principal in the last rule of  $\mathcal{D}$ , then there is a derivation of cut rank  $< |A|$  of*

$$\mathcal{G} \mid \mathcal{H} \mid \Gamma, \Sigma_1 \Rightarrow \Delta, \Pi_1 \mid \dots \mid \Gamma, \Sigma_n \Rightarrow \Delta, \Pi_n .$$

## Proof (Shift Right Lemma).

By induction on the depth of the derivation  $\mathcal{E}$ , distinguishing cases according to the last rule in  $\mathcal{E}$ . Some interesting cases:

- ▶ Last applied rule **EC**:

$$\begin{array}{c}
 \vdots \mathcal{D} \\
 \mathcal{G} \mid \Gamma \Rightarrow \Delta, A
 \end{array}
 \quad
 \frac{
 \begin{array}{c}
 \vdots \mathcal{E}' \\
 \mathcal{H} \mid A^{k_1}, \Sigma_1 \Rightarrow \Pi_1 \mid \dots \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n
 \end{array}
 }{
 \mathcal{H} \mid A^{k_1}, \Sigma_1 \Rightarrow \Pi_1 \mid \dots \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n
 }
 }{
 \begin{array}{c}
 \vdots \mathcal{D} \\
 \mathcal{G} \mid \Gamma \Rightarrow \Delta, A
 \end{array}
 \quad
 \mathcal{H} \mid A^{k_1}, \Sigma_1 \Rightarrow \Pi_1 \mid \dots \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n
 }
 \text{IH}$$

$$\sim$$

$$\frac{
 \begin{array}{c}
 \vdots \mathcal{D} \\
 \mathcal{G} \mid \Gamma \Rightarrow \Delta, A
 \end{array}
 \quad
 \mathcal{H} \mid A^{k_1}, \Sigma_1 \Rightarrow \Pi_1 \mid \dots \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n \mid A^{k_n}, \Sigma_n \Rightarrow \Pi_n
 }{
 \mathcal{G} \mid \mathcal{H} \mid \Gamma, \Sigma_1 \Rightarrow \Delta, \Pi_1 \mid \dots \mid \Gamma, \Sigma_n \Rightarrow \Delta, \Pi_n \mid \Gamma, \Sigma_n \Rightarrow \Delta, \Pi_n
 }
 \text{EC}$$

## Proof (Shift Right Lemma).

By induction on the depth of the derivation  $\mathcal{E}$ , distinguishing cases according to the last rule in  $\mathcal{E}$ . Some interesting cases:

- ▶  $A = \Box B$  and last applied rule  $\Box_L$  with  $\Box B$  principal (omitting side hypersequents and showing only two components):

$$\frac{\frac{\frac{\vdots \mathcal{D}'}{\Gamma \Rightarrow \Delta \mid \Rightarrow B}}{\Gamma \Rightarrow \Delta, \Box B} \Box_R \quad \frac{\frac{\vdots \mathcal{E}'}{\Box B^{k_1-1}, \Sigma_1 \Rightarrow \Pi_1 \mid B, \Box B^{k_2}, \Sigma_2 \Rightarrow \Pi_2}}{\Box B^{k_1}, \Sigma_1 \Rightarrow \Pi_1 \mid \Box B^{k_2}, \Sigma_2 \Rightarrow \Pi_2} \Box_L}{\sim}$$

$$\frac{\frac{\frac{\vdots \mathcal{D}'}{\Gamma \Rightarrow \Delta \mid \Rightarrow B} \Box_R \quad \frac{\vdots \mathcal{E}'}{\Box B^{k_1-1}, \Sigma_1 \Rightarrow \Pi_1 \mid B, \Box B^{k_2}, \Sigma_2 \Rightarrow \Pi_2} IH}{\Gamma, \Sigma_1 \Rightarrow \Delta, \Pi_1 \mid B, \Gamma, \Sigma_2 \Rightarrow \Delta, \Pi_2} hcut, W, EC}{\Gamma, \Sigma_1 \Rightarrow \Delta, \Pi_1 \mid \Gamma, \Sigma_2 \Rightarrow \Delta, \Pi_2}$$

## Cut Elimination for HS5 - Shift Left Lemma

### Lemma (Shift Left Lemma)

*If there are HS5hcut-derivations*

$$\mathcal{G} \mid \Gamma_1 \Rightarrow \Delta_1, A^{k_1} \mid \dots \mid \Gamma_n \Rightarrow \Delta_n, A^{k_n} \quad \text{and} \quad \mathcal{H} \mid A, \Sigma \Rightarrow \Pi$$

$\vdots \mathcal{D}$ 

 $\vdots \mathcal{E}$

*of cut rank  $< |A|$ , then there is a derivation of cut rank  $< |A|$  of*

$$\mathcal{G} \mid \mathcal{H} \mid \Gamma_1, \Sigma \Rightarrow \Delta_1, \Pi \mid \dots \mid \Gamma_n, \Sigma \Rightarrow \Delta_n, \Pi .$$



## Proof (Shift Left Lemma)

By induction on the depth of the derivation  $\mathcal{D}$ , distinguishing cases according to the last rule in  $\mathcal{D}$ . An interesting case:

- ▶  $A = \Box B$  and last applied rule  $\Box_R$  with  $\Box B$  principal (omitting side hypersequents and assuming only two components):

$$\begin{array}{c}
 \vdots \mathcal{D}' \\
 \hline
 \Gamma_1 \Rightarrow \Delta_1, \Box B^{k_1} \mid \Gamma_2 \Rightarrow \Delta_2, \Box B^{k_2-1} \mid \Rightarrow B \quad \Box_R \quad \vdots \mathcal{E} \\
 \Gamma_1 \Rightarrow \Delta_1, \Box B^{k_1} \mid \Gamma_2 \Rightarrow \Delta_2, \Box B^{k_2} \quad \Box B, \Sigma \Rightarrow \Pi
 \end{array}
 \rightsquigarrow
 \begin{array}{c}
 \vdots \mathcal{D}' \quad \vdots \mathcal{E} \\
 \hline
 \Gamma_1 \Rightarrow \Delta_1, \Box B^{k_1} \mid \Gamma_2 \Rightarrow \Delta_2, \Box B^{k_2-1} \mid \Rightarrow B \quad \Box B, \Sigma \Rightarrow \Pi \quad IH \\
 \hline
 \Gamma_1, \Sigma \Rightarrow \Delta_1, \Pi \mid \Gamma_2, \Sigma \Rightarrow \Delta_2, \Pi \mid \Rightarrow B \quad \Box_R \quad \vdots \mathcal{E} \\
 \Gamma_1, \Sigma \Rightarrow \Delta_1, \Pi \mid \Gamma_2, \Sigma \Rightarrow \Delta_2, \Pi, \Box B \quad \Box B, \Sigma \Rightarrow \Pi \\
 \hline
 \Gamma_1, \Sigma \Rightarrow \Delta_1, \Pi \mid \Gamma_2, \Sigma \Rightarrow \Delta_2, \Pi \quad SRL
 \end{array}$$

# Cut Elimination for HS5 - Main Theorem

## Theorem

*Every derivation in HS5hcut can be converted into a derivation in HS5 with the same conclusion.*

## Proof.

By double induction on the cut rank  $r$  of the derivation and the number of cuts on formulae with complexity  $r$ . Topmost cuts of maximal complexity are eliminated using the Shift Left Lemma.  $\square$

## Corollary (Cut-free Completeness)

*If  $A$  is S5-valid, then  $\Rightarrow A$  is derivable in HS5.*



# Soundness and Completeness of HS5\*

## Lemma (Equivalence)

*In presence of the structural rules, a hypersequent is derivable in HS5 iff it is derivable in HS5\*.*

### Proof.

Simulate the rules. E.g.:

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Rightarrow B}{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B} \Box_R \quad \rightsquigarrow \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Rightarrow B}{\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B \mid \Rightarrow B}{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B}} \begin{matrix} W \\ \Box_R^* \end{matrix}$$

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B \mid \Rightarrow B}{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B} \Box_R^* \quad \rightsquigarrow \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B \mid \Rightarrow B}{\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B, \Box B}{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \Box B}} \begin{matrix} \Box_R \\ IC \end{matrix}$$



## Admissibility of the structural rules

### Lemma

*The internal and external structural rules are admissible in HS5\*.*

### Proof.

By induction on the depth of the derivation. E.g.:

$$\frac{\frac{\Gamma, \Box A, \Box A \Rightarrow \Delta \mid \Sigma, A \Rightarrow \Delta}{\Gamma, \Box A, \Box A \Rightarrow \Delta \mid \Sigma \Rightarrow \Delta} \Box_L^*}{\Gamma, \Box A \Rightarrow \Delta \mid \Sigma \Rightarrow \Pi} IC \quad \sim \quad \frac{\frac{\Gamma, \Box A, \Box A \Rightarrow \Delta \mid \Sigma, A \Rightarrow \Pi}{\Gamma, \Box A \Rightarrow \Delta \mid \Sigma, A \Rightarrow \Pi} IH}{\Gamma, \Box A \Rightarrow \Delta \mid \Sigma \Rightarrow \Pi} \Box_L^*$$

□

Thus when trying to construct a derivation for a hypersequent

- ▶ we don't need to consider the structural rule, in particular the contraction rules
- ▶ we don't need to consider rules which only duplicate formulae.

## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

---

$$\Box \Box p \Rightarrow \Box q$$

## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

- ▶ apply all propositional rules, universally choose a premiss

---

$$\Box \Box p \Rightarrow \Box q$$

## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

- ▶ apply all propositional rules, universally choose a premiss
- ▶ apply  $\Box_R^*$  in all ways

$$\frac{\frac{\Box\Box p \Rightarrow \Box q \mid \Rightarrow q}{\Box\Box p \Rightarrow \Box q}}{\Box\Box p \Rightarrow \Box q} \Box_R^*$$



## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

- ▶ apply all propositional rules, universally choose a premiss
- ▶ apply  $\Box_R^*$  in all ways
- ▶ apply  $\Box_L^*$  and  $T^*$  in all ways

$$\frac{\frac{\frac{\frac{\Box\Box p, \Box p \Rightarrow \Box q \mid \Box p, p \Rightarrow q}{\Box\Box p \Rightarrow \Box q \mid \Box p \Rightarrow q} \Box_L^*}{\Box\Box p \Rightarrow \Box q \mid \Rightarrow q} \Box_R^*}{\Box\Box p \Rightarrow \Box q} T^*$$

## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

- ▶ apply all propositional rules, universally choose a premiss
- ▶ apply  $\Box_R^*$  in all ways
- ▶ apply  $\Box_L^*$  and  $T^*$  in all ways
- ▶ reject if no rule applied
- ▶ accept if you see an initial sequent

$$\frac{\frac{\frac{\Box\Box p, \Box p \Rightarrow \Box q \mid \Box p, p \Rightarrow q}{\Box\Box p \Rightarrow \Box q \mid \Box p \Rightarrow q} T^*}{\Box\Box p \Rightarrow \Box q \mid \Rightarrow q} \Box_L^*}{\Box\Box p \Rightarrow \Box q} \Box_R^*$$

## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

- ▶ apply all propositional rules, universally choose a premiss
- ▶ apply  $\Box_R^*$  in all ways
- ▶ apply  $\Box_L^*$  and  $T^*$  in all ways
- ▶ reject if no rule applied
- ▶ accept if you see an initial sequent
- ▶ repeat

$$\begin{array}{c}
 \text{no rule applies} \\
 \frac{\Box\Box p, \Box p, p \Rightarrow \Box q \mid \Box p, p \Rightarrow q}{\Box\Box p, \Box p \Rightarrow \Box q \mid \Box p, p \Rightarrow q} \Box_L^* \\
 \frac{\Box\Box p, \Box p \Rightarrow \Box q \mid \Box p, p \Rightarrow q}{\Box\Box p \Rightarrow \Box q \mid \Box p \Rightarrow q} T^* \\
 \frac{\Box\Box p \Rightarrow \Box q \mid \Box p \Rightarrow q}{\Box\Box p \Rightarrow \Box q \mid \Rightarrow q} \Box_L^* \\
 \frac{\Box\Box p \Rightarrow \Box q \mid \Rightarrow q}{\Box\Box p \Rightarrow \Box q} \Box_R^*
 \end{array}$$

## Applications: Decidability and Complexity

To decide whether a formula is valid in S5 we do a **backwards proof search** in HS5\*, applying rules (backwards) only if they create new formulae:

On input  $\mathcal{G}$ :

- ▶ apply all propositional rules, universally choose a premiss
- ▶ apply  $\Box_R^*$  in all ways
- ▶ apply  $\Box_L^*$  and  $T^*$  in all ways
- ▶ reject if no rule applied
- ▶ accept if you see an initial sequent
- ▶ repeat

Complexity (input size =  $n$ ):

- $\rightsquigarrow \leq n$  new formulae, universal choices
- $\rightsquigarrow \leq n$  formulae, components
- $\rightsquigarrow \leq n^2$  steps
- $\rightsquigarrow \leq$  steps
- $\rightsquigarrow \leq n$  times

In total:  $p(n)$  steps  $\rightsquigarrow$  **coNP**.

## Hypersequents for Other Logics

Hypersequent calculi also capture other extensions of S4:

E.g., take the rules

$$\frac{\mathcal{G} \mid \Box \Gamma \Rightarrow A}{\mathcal{G} \mid \Box \Gamma \Rightarrow \Box A}$$

$$\frac{\mathcal{G} \mid \Gamma, A \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, \Box A \Rightarrow \Delta}$$

and for the following logics and frame conditions extend them with:

$$\text{S4.2 } \forall x, y \exists z : xRz \ \& \ yRz \quad \frac{\mathcal{G} \mid \Box \Gamma, \Box \Delta \Rightarrow}{\mathcal{G} \mid \Box \Gamma \Rightarrow \mid \Box \Delta \Rightarrow}$$

$$\text{S4.3 } \forall x, y : xRy \ \text{or} \ yRx \quad \frac{\mathcal{G} \mid \Sigma, \Box \Gamma \Rightarrow \Pi \quad \mathcal{G} \mid \Theta, \Box \Delta \Rightarrow \Lambda}{\mathcal{G} \mid \Sigma, \Box \Delta \Rightarrow \Pi \mid \Theta, \Box \Gamma \Rightarrow \Lambda}$$

$$\text{S5 } \forall x, y : xRy \quad \frac{\mathcal{G} \mid \Box \Gamma, \Delta \Rightarrow \Pi}{\mathcal{G} \mid \Box \Gamma \Rightarrow \mid \Delta \Rightarrow \Pi}$$

(from [Kurokawa:'14])

Cut elimination is shown as we did for S5.

# Bibliography I



A. Avron.

The method of hypersequents in the proof theory of propositional non-classical logics.  
In *Logic: From Foundations to Applications*. Clarendon, 1996.



A. Ciabatonni, G. Metcalfe, and F. Montagna.

Algebraic and proof-theoretic characterizations of truth stressers for MTL and its extensions.  
*Fuzzy sets and systems*, 161:369–389, 2010.



S. Ghilardi.

Unification in intuitionistic logic.  
*J. Symb. Log.*, 64(2):859–880, 1999.



H. Kurokawa.

Hypersequent calculi for modal logics extending S4.  
In *New Frontiers in Artificial Intelligence*, volume 8417, pages 51–68. Springer, 2014.



B. Lellmann.

Axioms vs hypersequent rules with context restrictions: Theory and applications.  
In *IJCAR 2014*, pages 307–321. Springer, 2014.



G. Mints.

Система льюиса и система T (Supplement to the Russian translation).  
In *R. Feys, Modal Logic*, pages 422–509. Nauka, Moscow, 1974.



G. Pottinger.

Uniform, cut-free formulations of T, S4 and S5 (abstract).  
*J. Symb. Logic*, 48(3):900, 1983.



# Bibliography II



G. Restall.

Proofnets for S5: sequents and circuits for modal logic.

In *Logic Colloquium 2005*, volume 28, pages 151–172. Cambridge, 2007.