

From Shallow Axioms to Cut-free Sequent Systems¹

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¹Based on a paper to appear in TABLEAUX 2011 

Motivating Example: Conditional Logics

Conditional logic:

binary operator “ $>$ ” (“conditional implication”)

many readings of $(A > B)$, e.g.

- ▶ Default logic: “if A , then normally B ”
- ▶ Counterfactual logic: “if A were the case, then so would B ”
- ▶ Non-monotonic reasoning: “ B is a plausible conclusion of A ”
- ▶ \vdots

How To Axiomatise This?

E.g. in Default Logic (“if . . . , then normally . . .”):

- ▶ Should $(monday > work) \rightarrow ((monday \wedge sick) > work)$ hold?
- ▶ Should $(monday > work) \wedge (monday > sick) \rightarrow ((monday \wedge sick) > work)$ hold?
- ▶ Should $(monday > monday)$ hold?

Question: If we know the axioms, how can we check, whether a given statement must hold?

Put differently: Is a given statement derivable using a set of axioms?

So Many Axioms

Logics given in a Hilbert system $H\mathcal{A}$ with a set \mathcal{A} of axioms, e.g.,

$$(CA) \quad (A > B) \wedge (C > B) \rightarrow ((A \vee C) > B)$$

$$(CC) \quad (A > B) \wedge (A > C) \rightarrow (A > (B \wedge C))$$

$$(CEM) \quad (A > B) \vee (A > \neg B)$$

$$(CM) \quad (A > (B \wedge C)) \rightarrow ((A \wedge B) > C)$$

$$(CMon) \quad (A > B) \wedge (A > C) \rightarrow ((A \wedge B) > C)$$

$$(CS) \quad (A \wedge B) \rightarrow (A > B)$$

$$(CSO) \quad ((A > B) \wedge (B > A)) \rightarrow ((A > C) \leftrightarrow (B > C))$$

$$(CV) \quad (A > B) \wedge \neg(A > \neg C) \rightarrow ((A \wedge C) > B)$$

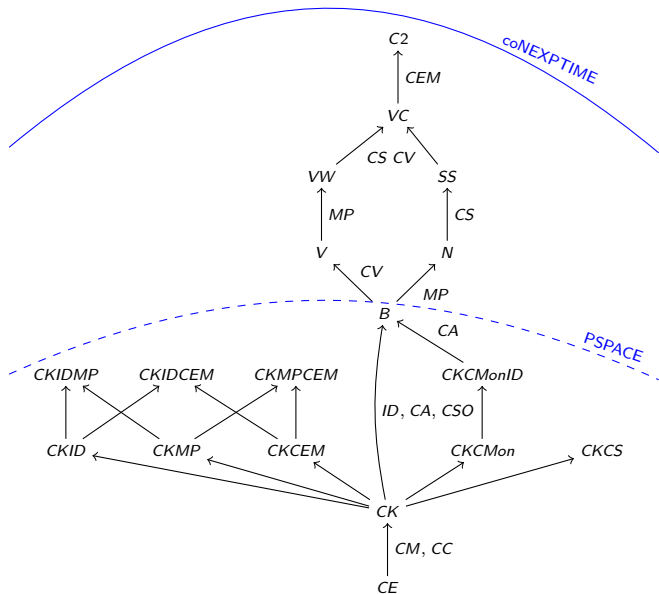
$$(ID) \quad (A > A)$$

$$(MP) \quad (A > B) \rightarrow (A \rightarrow B)$$

\vdots \vdots

Note: All axioms are **shallow**, i.e. have modal nesting depth 1.

So Many Systems

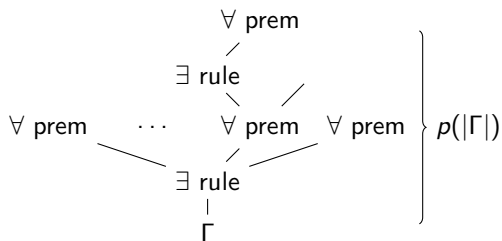


What's The Problem?

Most algorithms for the derivability problem for these logics use a specifically tailored sequent / tableau system.

How about a generic syntactical treatment?

- ▶ Undecidability of some rank 2 logics suggests limitation to shallow axioms
- ▶ Aiming for a good complexity (PSPACE) suggests using backwards proof search in a (cut-free) sequent system



Background on Sequent Systems

Suppose Λ is a set of modalities.

$$\mathcal{F}(\Lambda) \ni A_1, \dots, A_n ::= p \mid \perp \mid \neg A_1 \mid (A_1 \wedge A_2) \mid \heartsuit(A_1, \dots, A_n)$$

A **sequent** Γ is a multiset over $\mathcal{F}(\Lambda)$ (read disjunctively)

Rules of the basic system G :

$$\begin{array}{c} \overline{\Gamma, p, \neg p} \quad (p \in V) \quad \overline{\Gamma, \neg \perp} \quad \frac{\Gamma, A}{\Gamma, \neg \neg A} \\ \\ \frac{\Gamma, A \quad \Gamma, B}{\Gamma, (A \wedge B)} \quad \frac{\Gamma, \neg A, \neg B}{\Gamma, \neg(A \wedge B)} \quad \frac{\Gamma, A, A}{\Gamma, A} \text{Con} \quad \frac{\Gamma, A \quad \neg A, \Delta}{\Gamma, \Delta} \text{Cut} \\ \\ \frac{A_1 = B_1 \quad \dots \quad A_n = B_n}{\Gamma, \neg \heartsuit(A_1, \dots, A_n), \heartsuit(B_1, \dots, B_n)} \text{Cg} \end{array}$$

From Axioms to Rules

Example: (CC)

$$\begin{aligned} & (A > B) \wedge (A > C) \rightarrow (A > (B \wedge C)) \\ \rightsquigarrow & \overline{\Gamma, \neg(A > B), \neg(A > C), (A > (B \wedge C))} \\ \rightsquigarrow & \frac{B \wedge C = D \quad A_1 = A_2 = A_3}{\Gamma, \neg(A_1 > B), \neg(A_2 > C), (A_3 > D)} = R_{(CC)} \end{aligned}$$

Example: (CS)

$$\begin{aligned} & A \wedge B \rightarrow (A > B) \\ \rightsquigarrow & \overline{\Gamma, \neg A, \neg B, (A > B)} \\ \rightsquigarrow & \frac{\Gamma, A \quad \Gamma, B}{\Gamma, (A > B)} = R_{(CS)} \end{aligned}$$

Axioms And Rules

Definition

A **shallow rule** is a rule of the form

$$\frac{\Gamma, \Gamma_1 \quad \dots \quad \Gamma, \Gamma_\ell \quad \Delta_1 \quad \dots \quad \Delta_m}{\Gamma, M_1, \dots, M_n},$$

where Γ is a sequent, $\Gamma_1, \dots, \Gamma_\ell, \Delta_1, \dots, \Delta_m$ are sequents of literals over variables, and M_1, \dots, M_n are literals over modalised variables.

Theorem

Let \mathcal{A} be a set of shallow axioms, and $\mathcal{R}_{\mathcal{A}}$ the corresponding rule set. Then for all sequents Γ

$$H\mathcal{A} \vdash \bigvee \Gamma \iff GR_{\mathcal{A}} + Cut + Con \vdash \Gamma.$$

Side Remark: Decidability

Definition

A (context-sensitive) **pseudo-analytic cut** is a cut

$$\frac{\Gamma, A \quad \neg A, \Gamma}{\Gamma} PAC ,$$

where $A \in \Lambda(\text{Prop}(\{B \mid (\neg)\heartsuit(\dots, (\neg)B, \dots) \in \Gamma\}))$.

Theorem

If \mathcal{A} is a set of shallow axioms, then for every sequent Γ

$$HA \vdash \bigvee \Gamma \iff GR_{\mathcal{A}} + PAC + Con \vdash \Gamma .$$

Theorem

If \mathcal{R} is a PSPACE-tractable and contraction closed set of shallow rules, then derivability in $GR + Cut + Con$ is in 3EXPTIME.

Admissibility of Contraction

- ▶ For contraction between principal formulae: close the rule set,

$$\text{e.g. } R_{(CC)} \frac{B_1 \wedge B_1 = B \quad A_1 = A_1 = A}{\Gamma, \neg(A_1 > B_1), \neg(A_1 > B_1), (A > B)} \rightsquigarrow (Cg).$$

- ▶ For contraction between principal formulae and context: Add principal formulae to premisses involving context,

$$\text{e.g. } R_{(CS)} \frac{\Gamma, A, (A > B) \quad \Gamma, B, (A > B)}{\Gamma, (A > B)}.$$

This guarantees admissibility of Contraction.

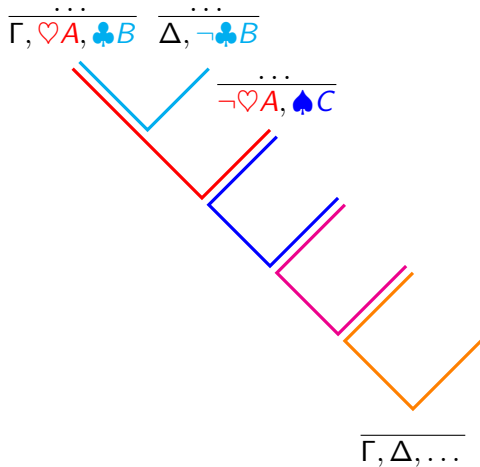
Then a blocking technique in backwards proof search gives

Theorem

If \mathcal{R} is a contraction closed and PSPACE-tractable set of shallow rules, then the derivability problem for $GR + Con$ is in PSPACE.

Admissibility of Cut via Cut-trees

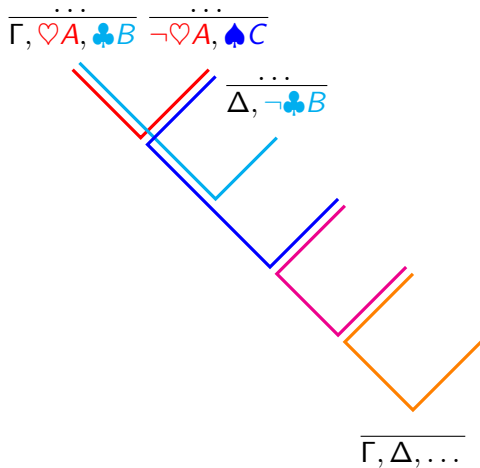
Idea: implicitly represent the missing cuts between principal formulas of shallow rules as trees ...



too big...

Admissibility of Cut via Cut-trees

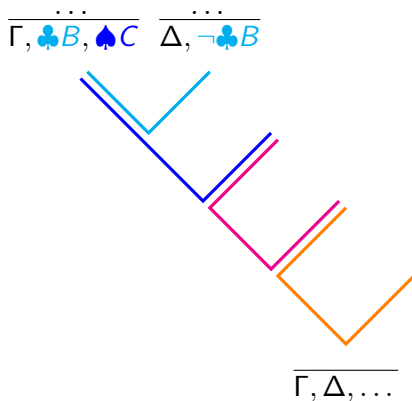
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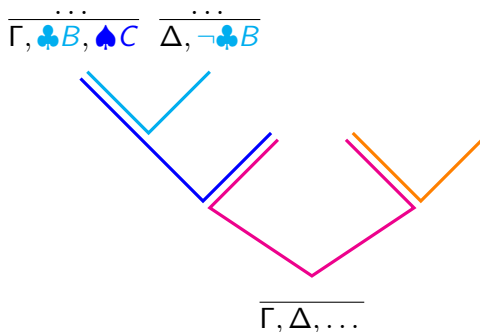
Idea: implicitly represent the missing cuts between principal formulas of shallow rules as trees and close under small cuts.



still too deep...

Admissibility of Cut via Cut-trees

Idea: implicitly represent the missing cuts between principal formulas of shallow rules as trees and close under small cuts.



that's it!

Admissibility of Cut via Cut-trees

Observation

If \mathcal{R} is finite we may assume closure under cuts with “small” rules.

Definition

If \mathcal{R} is a set of shallow rules, then \mathcal{R}^* is the set of rules represented by cut trees with linear size and logarithmic depth.

Theorem

If \mathcal{A} is finite, then $\mathcal{R}_{\mathcal{A}}^$ is PSPACE-tractable and $GR_{\mathcal{A}}^* + Con$ has cut elimination.*

Theorem

If Γ is a sequent, then $(H\mathcal{A} \vdash \bigvee \Gamma \iff GR_{\mathcal{A}}^ + Con \vdash \Gamma)$.*

Theorem

If \mathcal{A} is finite, and $\mathcal{R}_{\mathcal{A}}^$ is contraction closed, then the derivability problem for $H\mathcal{A}$ is in PSPACE.*

Applications: Conditional Logics

Theorem

If \mathcal{R}^* is contraction closed, and Q is a shallow rule *with one principal formula*, then $(\mathcal{R} \cup \{Q\})^*$ is contraction closed.

CEM	$(A > B) \vee (A > \neg B)$	ID	$(A > A)$
MP	$(A > B) \rightarrow (A \rightarrow B)$	CS	$(A \wedge B) \rightarrow (A > B)$
CC	$(A > B) \wedge (A > C) \rightarrow (A > (B \wedge C))$		
CM	$(A > (B \wedge C)) \rightarrow (A > B) \wedge (A > C)$		

Theorem (Olivetti, Schwind, 2001; Olivetti, Pozzato, Schwind, 2007; Pattinson, Schröder, 2009)

If $S \subseteq \{CC, CEM, ID, MP, CS\}$, then the conditional logic axiomatised by $CM + S$ is decidable in polynomial space.

Conclusion

Results:

- ▶ We can turn shallow axioms into sequent rules.
- ▶ We can deal with Contraction alone.
- ▶ We can deal with Cut if we have Contraction.
- ▶ In some cases we can deal with both.

Questions:

- ▶ Can we generically deal with Cut and Contraction?
- ▶ Is there a shallow logic which is not in PSPACE?