

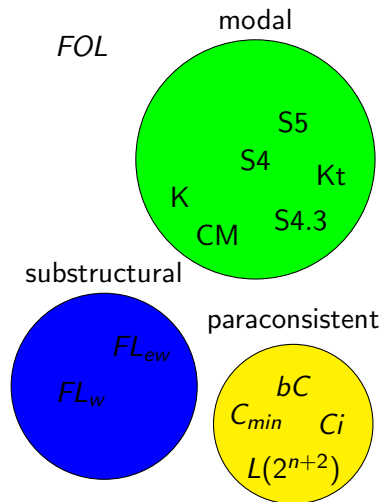
Towards a Theory of Hypersequent Calculi for Modal Logics

Björn Lellmann

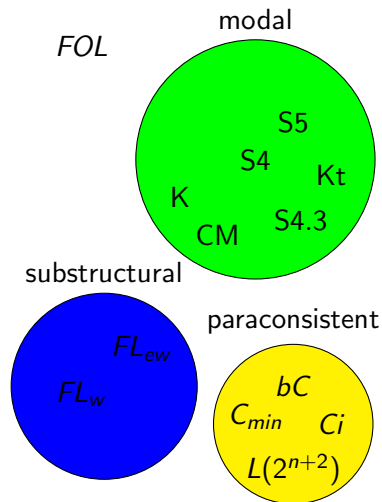
TU Wien

Vienna, 5 November 2014

Motivation 1: The Zoo of Logics



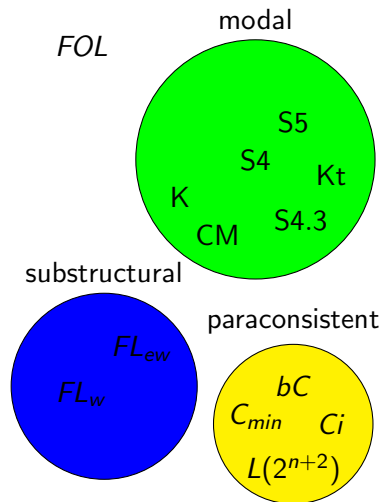
Motivation 1: The Zoo of Logics



Fact 1

There are many logics.

Motivation 1: The Zoo of Logics



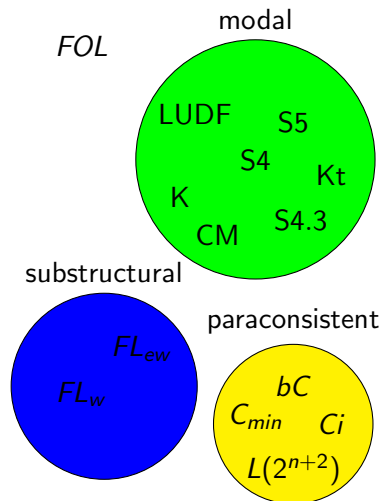
Fact 1

There are many logics.

Fact 2

Their number is growing (almost) everyday.

Motivation 1: The Zoo of Logics



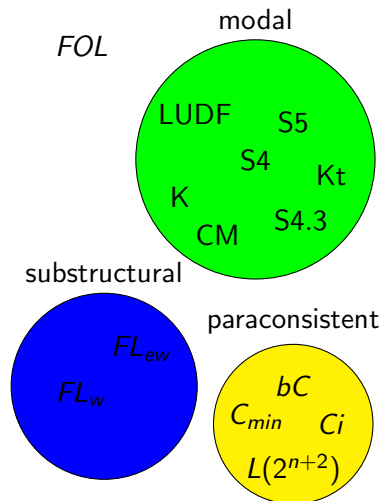
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Motivation 1: The Zoo of Logics



Fact 1

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Fact 2

Their number is growing (almost) everyday.

Problem

How can we reason in these logics?

Motivation 1: The Zoo of Logics

Problem

Given the specification of a logic, construct an analytic calculus to be used in a decision procedure for it!

In the spirit of a “smart reuse of resources” we would like to have general methods to approach this problem.

Motivation 1: The Zoo of Logics

Problem

Given the **specification** of a logic, construct an analytic calculus to be used in a decision procedure for it!

- ▶ Assume the logic is given as a Hilbert-style axiom system.

In the spirit of a “smart reuse of resources” we would like to have general methods to approach this problem.

Motivation 1: The Zoo of Logics

Problem

Given the specification of a logic, construct an **analytic calculus** to be used in a decision procedure for it!

- ▶ Assume the logic is given as a Hilbert-style axiom system.
- ▶ Which framework to choose for the calculus: sequents, hypersequents, nested sequents, display, ...?
- ▶ How to construct the calculus?

In the spirit of a “smart reuse of resources” we would like to have general methods to approach this problem.

Motivation 2: The Zoo of Formalisms

Display

Nested sequents

2-sequents

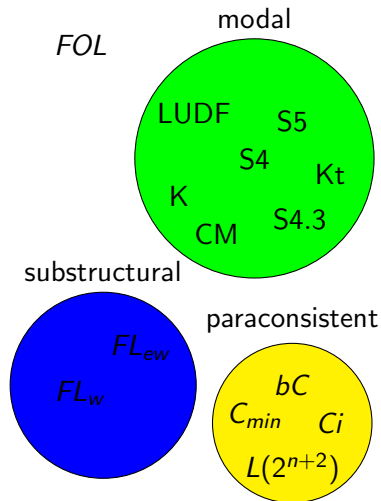
Hypersequents

Sequents

Hilbert systems / formulae

We need a **general theory of derivation systems** including results about which frameworks are appropriate for which logics!

Some first results



Display

Nested sequents

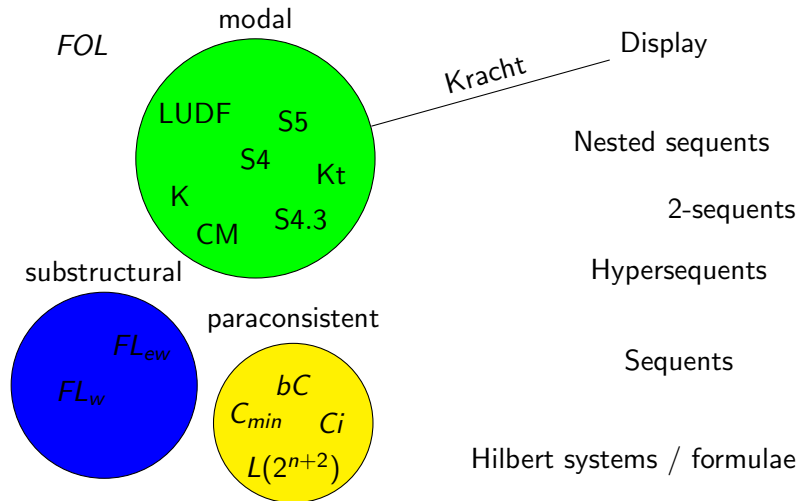
2-sequents

Hypersequents

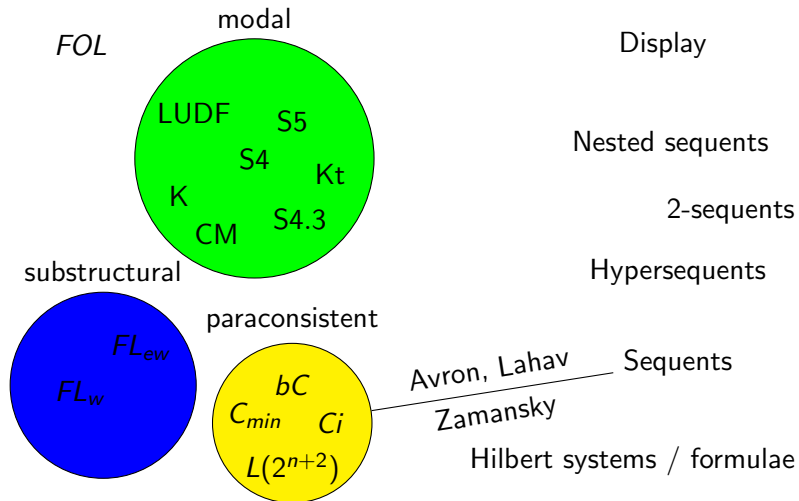
Sequents

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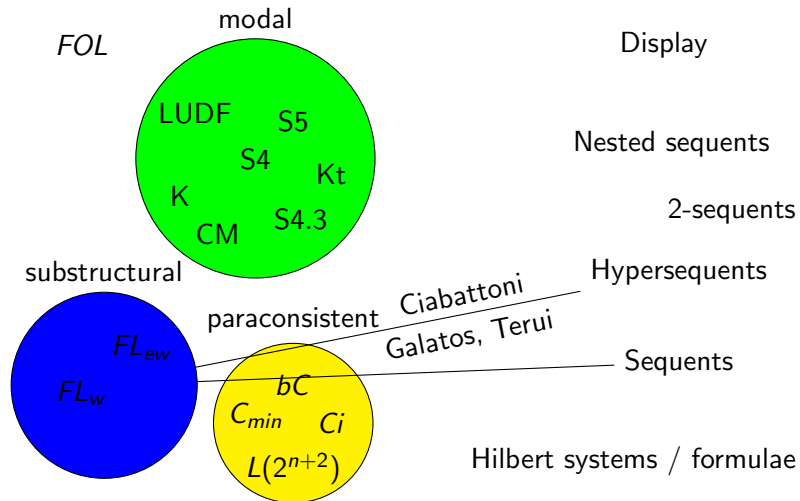
Some first results



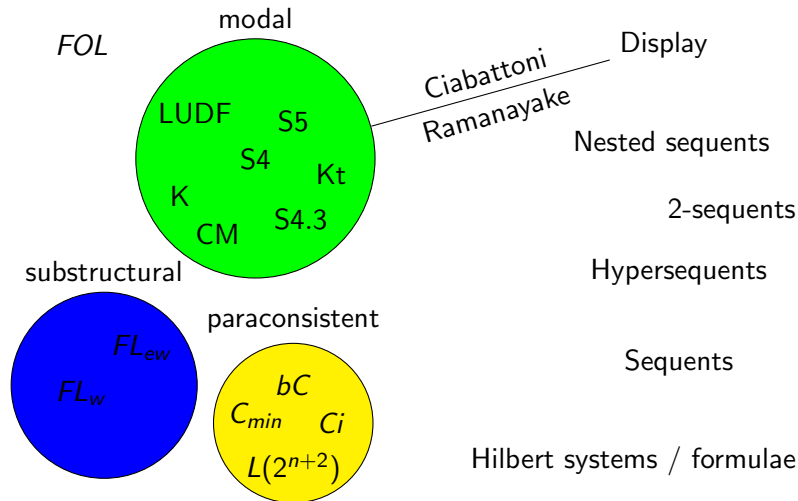
Some first results



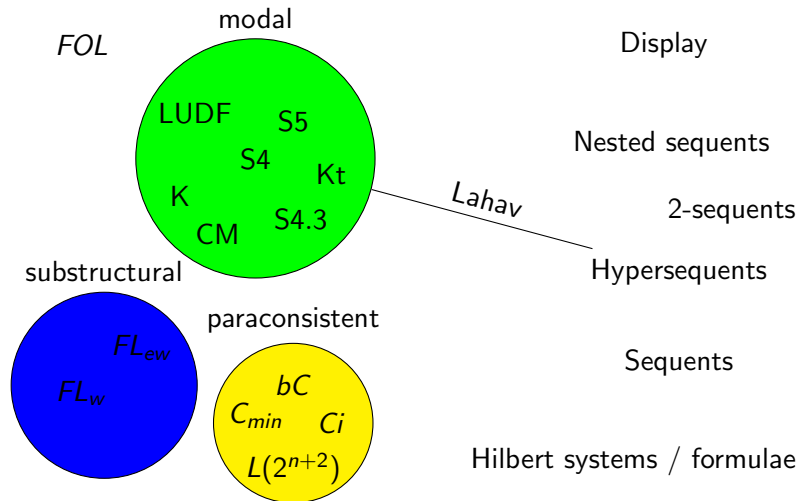
Some first results



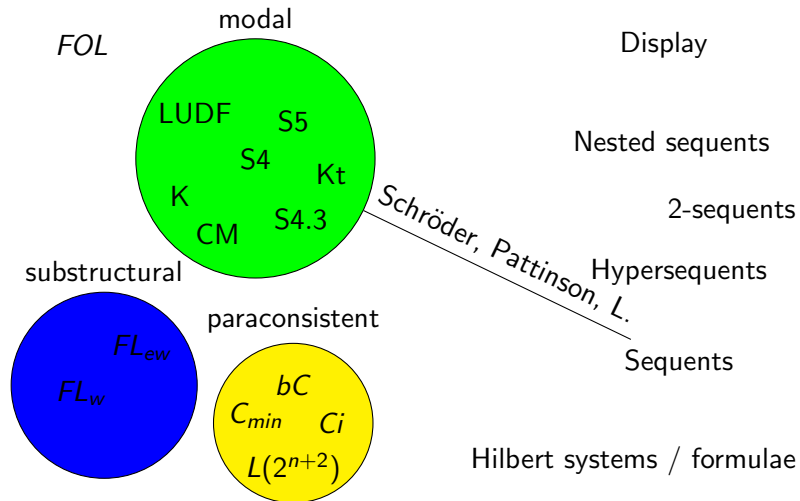
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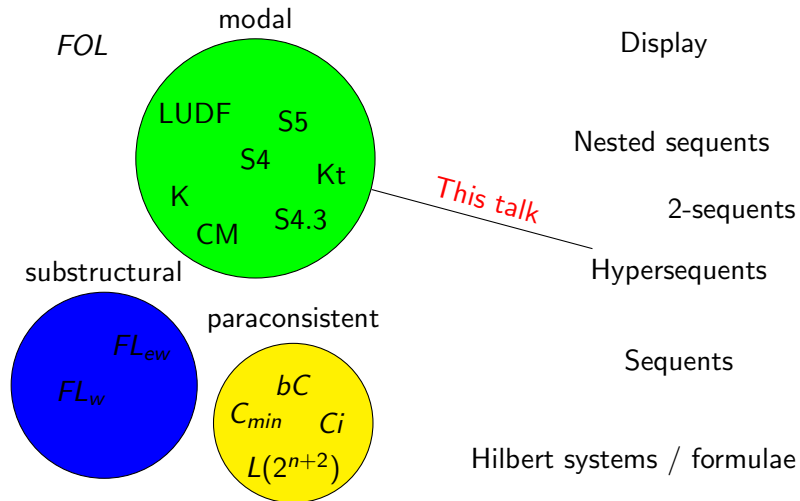
Some first results



Some first results



Some first results



Hypersequent calculi

Hypersequent Basics

The **formulae** of normal modal logics are given by

$$\mathcal{F} \ni \varphi ::= p_i \mid \perp \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \varphi \rightarrow \varphi \mid \Box\varphi \mid \heartsuit\varphi \mid \dots$$

Sequents are tuples $\Gamma \Rightarrow \Delta$ of multisets of formulae read as $\bigwedge \Gamma \rightarrow \bigvee \Delta$, and **hypersequents** are multisets of sequents written

$$\Gamma_1 \Rightarrow \Delta_1 \mid \dots \mid \Gamma_n \Rightarrow \Delta_n$$

We consider hypersequent calculi with **axioms** and **structural rules**:

$$\begin{array}{c} \frac{}{\mathcal{G} \mid \Gamma, \varphi \Rightarrow \varphi, \Delta} \text{Ax} \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{IW} \quad \frac{\mathcal{G}}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \text{EW} \\ \\ \frac{\mathcal{G} \mid \varphi, \varphi, \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \varphi, \Gamma \Rightarrow \Delta} \text{IC}_L \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \varphi, \varphi}{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \varphi} \text{IC}_R \\ \\ \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \text{EC} \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta, \varphi \quad \mathcal{G} \mid \varphi, \Sigma \Rightarrow \Pi}{\mathcal{G} \mid \Gamma, \Sigma \Rightarrow \Delta, \Pi} \text{Cut} \end{array}$$

Hypersequent rules with restrictions examples

What could the additional rules look like?

Two (classic) examples from the literature [Avron 1996]:

$$\frac{\mathcal{G} \mid \Gamma, \varphi \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, \Box\varphi \Rightarrow \Delta} \text{ T} \qquad \frac{\mathcal{G} \mid \Box\Gamma, \Sigma \Rightarrow \Box\Delta, \Pi}{\mathcal{G} \mid \Box\Gamma \Rightarrow \Box\Delta \mid \Sigma \Rightarrow \Pi} \text{ MS}$$

The **characteristic features** of these rules are:

- ▶ They might introduce one layer of connectives in the active part of the conclusion
- ▶ One active component per premiss
- ▶ Possibly more than one active component in the conclusion
- ▶ They copy a restricted part of the contexts of each component to the premisses

How can we make that precise?

Hypersequent rules with restrictions formally

A **context restriction** is a tuple $\langle F_\ell; F_r \rangle$ of sets of formulae. It restricts a sequent $\Gamma \Rightarrow \Delta$ by allowing only substitution instances of formulae from F_ℓ (resp. F_r) in Γ (resp. Δ).

Hypersequent rules with context restrictions are of the form

$$\frac{(\Gamma_1 \Rightarrow \Delta_1; \mathcal{C}_1^1 \dots \mathcal{C}_n^1) \quad \dots \quad (\Gamma_m \Rightarrow \Delta_m; \mathcal{C}_1^m \dots \mathcal{C}_n^m)}{\Sigma_1 \Rightarrow \Pi_1 \mid \dots \mid \Sigma_n \Rightarrow \Pi_n}$$

with \mathcal{C}_j^i context restrictions and $\Gamma_i, \Delta_i \subseteq \text{Var}$ and $\Sigma_i, \Pi_i \subseteq \Box(\text{Var})$.

Simple rules use only $\langle \emptyset, \emptyset \rangle, \langle \{p\}, \{p\} \rangle, \langle \{\Box p\}, \emptyset \rangle$.

In an **application** the premiss with restriction $\mathcal{C}_1^i \dots \mathcal{C}_n^i$ copies the context of the j th component restricted by \mathcal{C}_j^i .

Example:

$$\frac{(\Rightarrow ; \langle \{\Box p\}; \{\Box p\} \rangle \langle \{p\}; \{p\} \rangle)}{\Rightarrow \mid \Rightarrow} \rightsquigarrow \frac{\mathcal{G} \mid \Box \Gamma, \Sigma \Rightarrow \Box \Delta, \Pi}{\mathcal{G} \mid \Omega, \Box \Gamma \Rightarrow \Box \Delta, \Theta \mid \Sigma \Rightarrow \Pi}$$

Cut elimination

Theorem

Every

set of rules with

restrictions has cut elimination.

Proof idea: Adapt the proof of [Ciabattoni, Metcalfe, Montagna 2010]: push cuts up to the left, then right.

$$\frac{\frac{\frac{\cdot \Rightarrow \cdot, \varphi \mid \Gamma \Rightarrow \Delta}{\cdot \Rightarrow \cdot, \varphi} R}{\cdot \Rightarrow \cdot, \varphi} EC}{\cdot \Rightarrow \cdot, \varphi} EC \quad \frac{\frac{\frac{\Sigma \Rightarrow \Pi}{\varphi, \cdot \Rightarrow \cdot \mid \varphi, \cdot \Rightarrow \cdot} Q}{\varphi, \cdot \Rightarrow \cdot} EC}{\varphi, \cdot \Rightarrow \cdot} Cut$$

$\cdot, \cdot \Rightarrow \cdot, \cdot$

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Theorem

Every right-substitutive,

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$$\frac{\cdot, \cdot \Rightarrow \cdot, \cdot \mid \cdot, \cdot \Rightarrow \cdot, \cdot}{\cdot, \cdot \Rightarrow \cdot, \cdot} \text{EC}$$

Cut elimination

Theorem

Every right-substitutive, single-conclusion right, right-contraction closed, set of rules with restrictions has cut elimination.

Proof idea: Adapt the proof of [Ciabattoni, Metcalfe, Montagna 2010]: push cuts up to the left, then right.

$$\frac{\frac{\frac{\cdot \Rightarrow \cdot, \varphi \mid \Gamma \Rightarrow \Delta \quad \varphi, \cdot \Rightarrow \cdot}{\cdot, \cdot \Rightarrow \cdot, \cdot \mid \Gamma \Rightarrow \Delta} \text{Cut} \quad \frac{\frac{\Sigma \Rightarrow \Pi}{\varphi, \cdot \Rightarrow \cdot \mid \varphi, \cdot \Rightarrow \cdot} Q}{\varphi, \cdot \Rightarrow \cdot} \text{EC}}{\cdot, \cdot \Rightarrow \cdot, \cdot \mid \cdot \Rightarrow \cdot, \varphi} R}{\cdot, \cdot \Rightarrow \cdot, \cdot \mid \cdot \Rightarrow \cdot, \varphi} \text{Cut}}{\frac{\cdot, \cdot \Rightarrow \cdot, \cdot \mid \cdot, \cdot \Rightarrow \cdot, \cdot}{\cdot, \cdot \Rightarrow \cdot, \cdot} \text{EC}} \text{Cut}$$

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Cut elimination

Theorem

Every right-substitutive, single-conclusion right, right-contraction closed, mixed-cut permuting, set of rules with restrictions has cut elimination.

Proof idea: Adapt the proof of [Ciabattoni, Metcalfe, Montagna 2010]: push cuts up to the left, then right.

$$\frac{\frac{\frac{\dots \Rightarrow \dots \mid \Gamma \Rightarrow \Delta}{\dots \Rightarrow \dots \mid \cdot \Rightarrow \dots, \varphi} R \quad \frac{\Sigma \Rightarrow \Pi}{\varphi, \cdot \Rightarrow \cdot \mid \varphi, \cdot \Rightarrow \cdot} Q}{\dots \Rightarrow \dots \mid \cdot \Rightarrow \dots, \varphi \mid \dots \Rightarrow \dots \mid \dots \Rightarrow \dots \mid \dots \Rightarrow \dots} \text{IH}}{\dots \Rightarrow \dots} \text{EC}$$

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Cut elimination

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Every right-substitutive, single-conclusion right, right-contraction closed, mixed-cut permuting, principal cut closed set of rules with restrictions has cut elimination.

Proof idea: Adapt the proof of [Ciabattoni, Metcalfe, Montagna 2010]: push cuts up to the left, then right.

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$.,. \Rightarrow ., .$

Decidability and Complexity

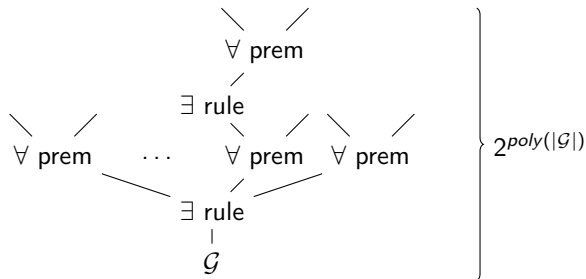
Theorem

Derivability in a cut-free, contraction-closed, bounded conclusion and tractable rule set is decidable in EXPSPACE.

Proof idea: Modify the rules to make contraction admissible, e.g.:

$$\frac{\mathcal{G} \mid \Box\Gamma', \Sigma \Rightarrow p}{\mathcal{G} \mid \Gamma, \Box\Gamma', \Box\Sigma \Rightarrow \Box p, \Delta} 4$$
$$\rightsquigarrow \frac{\mathcal{G} \mid \Gamma, \Box\Gamma', \Box\Sigma \Rightarrow \Box p, \Delta \mid \Box\Gamma', \Box\Sigma, \Sigma \Rightarrow p}{\mathcal{G} \mid \Gamma, \Box\Gamma', \Box\Sigma \Rightarrow \Box p, \Delta}$$

and perform
backwards
proof search:



Axioms and Rules

Axioms and Interpretations

We assume that the specification of a logic is given as a **Hilbert system**, i.e. by a set \mathcal{A} of **axioms** and the rules

$$\frac{\vdash \varphi}{\vdash \varphi\sigma} \text{ US} \quad \frac{\vdash \varphi \quad \vdash \varphi \rightarrow \psi}{\vdash \psi} \text{ MP} \quad \frac{\vdash \varphi \rightarrow \psi}{\vdash \heartsuit\varphi \rightarrow \heartsuit\psi} \text{ Mon}$$

We want to interpret a hypersequent as a formula – but the interpretation for $|$ is not clear! So let's make it a parameter:

An **interpretation** for a logic \mathcal{L} is a set $\{\varphi_n(p_1, \dots, p_n) : n \in \mathbb{N}\}$ of formulae such that $\models_{\mathcal{L}} \psi$ iff $\models_{\mathcal{L}} \varphi_1(\psi)$ (**regularity**) and which **respects the structural rules**:

- ▶ $\models_{\mathcal{L}} \varphi_n(\xi_1, \xi_2, \vec{\chi})$ iff $\models_{\mathcal{L}} \varphi_n(\xi_2, \xi_1, \vec{\chi})$
- ▶ If $\models_{\mathcal{L}} \varphi_n(\vec{\chi})$ then $\models_{\mathcal{L}} \varphi_{n+1}(\xi, \vec{\chi})$
- ▶ similarly for external contraction, cut, etc.

Example: $\iota_{\square} = \{\bigvee_{i \leq n} \square p_i : n \in \mathbb{N}\}$ for reflexive normal modal logics or $\iota_{\boxplus} = \{\bigvee_{i \leq n} (p_i \wedge \square p_i) : n \in \mathbb{N}\}$ for normal modal logics.

Axioms vs Rules

Consider the axiom for S4.3:

$$(.3) \quad \Box(\Box p \rightarrow q) \vee \Box(\Box q \rightarrow p)$$

The ι_{\Box} -simple axioms corresponding to simple hypersequent rules for $\iota_{\Box} = \{\bigvee_{i \leq n} \Box p_i : n \in \mathbb{N}\}$ are given by the following grammar:

$$S ::= \varphi_n(L \rightarrow R, \dots, L \rightarrow R)$$

$$L ::= L \wedge L \mid \heartsuit P_r \mid \psi_\ell \mid \top \mid \perp \quad R ::= R \vee R \mid \heartsuit P_\ell \mid \psi_r \mid \top \mid \perp$$

$$P_r ::= P_r \vee P_r \mid P_r \wedge P_r \mid P_\ell \rightarrow P_r \mid \psi_r \mid p_i \mid \perp \mid \top$$

$$P_\ell ::= P_\ell \vee P_\ell \mid P_\ell \wedge P_\ell \mid P_r \rightarrow P_\ell \mid \psi_\ell \mid p_i \mid \perp \mid \top$$

with $\heartsuit \in \wedge \cup \{\epsilon\}$

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$$(.3) \quad \Box(\Box p \rightarrow q) \vee \Box(\Box q \rightarrow p) \quad \rightsquigarrow \quad \frac{q \Rightarrow r \quad p \Rightarrow s}{\Box p \Rightarrow r \mid \Box q \Rightarrow s}$$

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The ι_{\Box} -simple axioms corresponding to simple hypersequent rules for $\iota_{\Box} = \{\bigvee_{i \leq n} \Box p_i : n \in \mathbb{N}\}$ are given by the following grammar:

$$S ::= \varphi_n(L \rightarrow R, \dots, L \rightarrow R)$$

$$L ::= L \wedge L \mid \heartsuit P_r \mid \psi_\ell \mid \top \mid \perp \quad R ::= R \vee R \mid \heartsuit P_\ell \mid \psi_r \mid \top \mid \perp$$

$$P_r ::= P_r \vee P_r \mid P_r \wedge P_r \mid P_\ell \rightarrow P_r \mid \psi_r \mid p_i \mid \perp \mid \top$$

$$P_\ell ::= P_\ell \vee P_\ell \mid P_\ell \wedge P_\ell \mid P_r \rightarrow P_\ell \mid \psi_\ell \mid p_i \mid \perp \mid \top$$

with $\heartsuit \in \wedge \cup \{\epsilon\}$

Axioms vs Rules

Consider the axiom for S4.3:

$$(.3) \quad \Box(\Box p \rightarrow q) \vee \Box(\Box q \rightarrow p) \quad \rightsquigarrow \quad \frac{\Gamma, \Omega \Rightarrow \Delta \quad \Sigma, \Theta \Rightarrow \Pi}{\Gamma, \Box\Theta \Rightarrow \Delta \mid \Sigma, \Box\Omega \Rightarrow \Pi}$$

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with $\heartsuit \in \wedge \cup \{\epsilon\}$ and $\psi_\ell \in \{q_i, \Box q_i : i \in \mathbb{N}\}$, $\psi_r \in \{r_i : i \in \mathbb{N}\}$ such that every ψ_ℓ, ψ_r occurs under φ_n once on the top level and at least once under a modality.

Caveat

The translations between axioms and rules use the **rules for K**:

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow q}{\mathcal{G} \mid \Box\Gamma \Rightarrow \Box q} R_K$$

But soundness of these is **not necessarily** preserved in extensions!

Theorem

If $K \subseteq \mathcal{L}$ and ι is a regular interpretation for \mathcal{L} , then:

$$R_K \text{ is sound for } (\mathcal{L}, \iota) \quad \text{iff} \quad \frac{\iota(\mathcal{G}, \varphi)}{\iota(\mathcal{G}, \Box\varphi)} \text{ is admissible in } \mathcal{L}$$

and both hold for $\iota = \iota_{\Box}$ if \mathcal{L} is transitive or extensible.

(Here \mathcal{L} is **extensible** if given by a class of frames closed under “adding a predecessor to everyone”).

This seems to suggest that hypersequent calculi are mainly suited for transitive or extensible logics!

Applications

Applications: Simple Frame Properties

All the calculi for logics given by **simple frame properties** based on K or K4 in [Lahav:2013] fit our framework and satisfy the criteria for cut elimination and decidability. E.g. **linearity**:

$$\forall w_1, w_2 \exists u (w_1 R u \wedge w_2 = u) \vee (w_2 R u \wedge w_1 = u)$$
$$\rightsquigarrow \frac{\mathcal{G} \mid \Sigma, \Gamma' \Rightarrow \Pi \quad \mathcal{G} \mid \Gamma, \Sigma' \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, \Box \Gamma' \Rightarrow \Delta \mid \Sigma, \Box \Sigma' \Rightarrow \Pi}$$

Thus we purely syntactically reprove cut elimination and have

Theorem

Logics given by simple frame properties are decidable in EXPSPACE.

The correspondence between simple rules and ι_{\Box} -simple axioms also gives (under some conditions) Hilbert systems for these logics.

Applications: S5

Modal logic S5 is given by the axioms for KT and the simple axiom

$$(5) \ \diamond p \rightarrow \square \diamond p \quad \equiv \quad \square p \vee \square \neg \square p \quad \rightsquigarrow \quad \frac{\mathcal{G} \mid p, \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \square p \Rightarrow \mid \Gamma \Rightarrow \Delta}$$

Adding contraction-absorbing contexts and dropping derivable rules yields the rules from [Restall:2007]:

$$\frac{\mathcal{G} \mid \Sigma, \square p \Rightarrow \Pi \mid p, \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Sigma, \square p \Rightarrow \Pi \mid \Gamma \Rightarrow \Delta} \quad 5 \qquad \frac{\mathcal{G} \mid \Gamma \Rightarrow \square p, \Delta \mid \Rightarrow p}{\mathcal{G} \mid \Gamma \Rightarrow \square p, \Delta} \quad \text{nec}$$

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Theorem

Backwards proof search in this calculus runs in coNP.

Algorithm:

Work on set-based hypersequents; apply nec to obtain a “genuinely new” component; apply Prop and 5 all possible ways (universally guessing the premiss); repeat until you hit axiom or no new components are found.

Applications: K4.2

Modal logic K4.2 is K plus (4) $\Box p \rightarrow \Box\Box p$ plus (.2) $\Diamond\Box p \rightarrow \Box\Diamond p$.

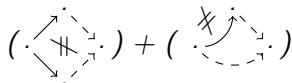
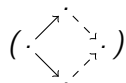
Bad News: ι_{\Box} is not regular for K4.2...

Good News: ... but $\iota_{\boxplus} = \{\bigvee_{i \leq n} (p_i \wedge \Box p_i) : n \geq 1\}$ is.

Lemma

The following are frame equivalent over transitive frames:

$\Diamond\Box p \rightarrow \Box\Diamond p$ and $\boxplus(\neg p \vee \neg\Box q) \vee \boxplus(p \vee \neg\Box\neg q)$.



Translating the latter axiom gives the rules:

$$\frac{\mathcal{G} \mid \Omega, \Box\Gamma, \Theta, \Box\Delta \Rightarrow \Xi, \Upsilon \quad \mathcal{G} \mid \Box\Gamma, \Sigma, \Box\Delta, \Pi \Rightarrow}{\mathcal{G} \mid \Omega, \Box\Gamma, \Box\Sigma \Rightarrow \Xi \mid \Theta, \Box\Delta, \Box\Pi \Rightarrow \Upsilon} 2$$

Theorem

The calculus $K4 + 2$ is cut-free complete and sound for $(K4.2, \iota_{\boxplus})$.

Summing Up

We have

- ▶ identified a general format of rules in a hypersequent calculus for modal logics
- ▶ general syntactic criteria for uniform cut elimination and decidability / complexity results
- ▶ identified a class of Hilbert axioms corresponding to such rules
- ▶ applied these results in the construction of analytic calculi for several logics.

Summing Up

We have

- ▶ identified a general format of rules in a hypersequent calculus for modal logics
- ▶ general syntactic criteria for uniform cut elimination and decidability / complexity results
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- ▶ applied these results in the construction of analytic calculi for several logics.

Thank you for your attention!